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#4-

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/924,035	08/07/2001	Jorn Gorlach	2011CIP1

CONFIRMATION NO. 1796

022881
PARADIGM GENETICS, INC
104 ALEXANDER DRIVE, BUILDING 2
P O BOX 14528
RTP, NC 277094528

FORMALITIES LETTER



OC000000006808650

Date Mailed: 09/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

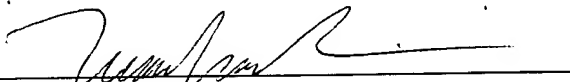
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.
- This application includes a copy of the "Sequence Listing" on a compact disc (37 CFR 1.821); however, it does not include two copies of the compact disc (37 CFR 1.52(e)(4)) in addition to the computer readable form. 37 CFR 1.821(e). Applicant must provide the required second compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

11/30/2001 EARLBAK1 00000037 500885 09924035
01 FC:105 130.00 CH

A copy of this notice MUST be returned with the reply.

A handwritten signature in black ink, appearing to be "J. M. Smith", is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Docket No. 20110411

1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jörn Gorlach et al.

Application No.: 09/924,035

Filed: August 7, 2001

For: Expressed Sequences of Arabidopsis
Thaliana

Group Art Unit No.: 1631

Examiner: Not yet assigned

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS
NONPROVISIONAL APPLICATION AND AMENDMENT

I. The instant transmittal is in response to the Notice to File Missing Parts of Application (PTO-1533) dated September 28, 2001.

A copy of the Notice to File Missing Parts of Application - - Filing Date Granted (Form PTO-1533) is enclosed herewith.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service
with sufficient postage as first class mail in an
envelope addressed to the Assistant
Commissioner for Patents, Washington, D.C.
20231.

Date: 11/27/01

FACSIMILE

☐ transmitted by facsimile to the Patent and
Trademark Office at (703) ____ - ____.

Nilminie Thambugala
Signature
NILMINIE THAMBUGALA
(type or print name of person certifying)

ET 327552283 US

DECLARATION OR OATH

- II. Enclosed is the signed original declaration and oath for this application.

SEQUENCE LISTING IN COMPUTER READABLE FORM

III. A copy of the "Sequence Listing" in computer readable form was submitted with the initial application as required by 37 C.F.R. 1.821(e) with a statement listing that the information recorded in the computer readable form is identical to the written (paper copy) and included no new matter. In compliance with the Missing Parts Notice and 37 CFR 1.821(e), an additional copy of the CRF recorded onto compact disc is submitted herewith for your records. Furthermore, an amendment directing entry of the compact disc into the application appears below and a statement verifying the data recorded thereon is attached hereto.

AMENDMENT

IV. In the matter of the above-identified application for United States Letters Patent and in response to the Notice to File Missing Parts of Application (PTO-1533) dated September 28, 2001, kindly enter the compact disc enclosed herewith into the application and consider the attached statement verifying the subject matter recorded thereon. Applicants submit that the foregoing amendment does not include new matter from that disclosed in Applicants' original filing.

COMPLETION FEES

V.

1. Surcharge Fees

Late payment of filing fee and/or late filing of original declaration or oath under 37 C.F.R. Section 1.16(e)	\$130.00
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Total Completion Fees	\$130.00
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PAYMENT OF FEES

VI. Charge Account No. 50-0885 in the amount of 130.00

A duplicate of this request is attached.

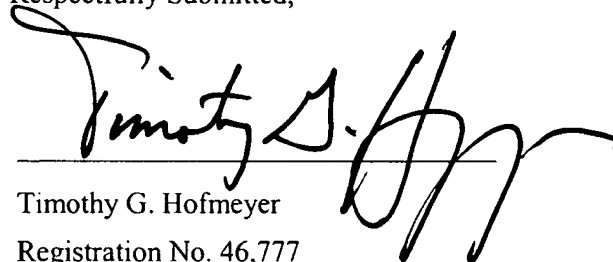
Please charge Account No. 50-0885 for any fees that may be due by this paper.

AUTHORIZATION TO CHARGE ADDITIONAL FEES

VII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 50-0885.

Date: Nov. 27, 2001
Customer No. 022881

Respectfully Submitted,



Timothy G. Hofmeyer

Registration No. 46,777

Attorney for Applicants

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